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*IFW*

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/806,953	03/22/2004	Katumi Sumikawa	07306-003003

20985  
 FISH & RICHARDSON, PC  
 12390 EL CAMINO REAL  
 SAN DIEGO, CA 92130-2081



CONFIRMATION NO. 2844

## FORMALITIES LETTER



\*OC000000012867720\*

Date Mailed: 06/04/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$380** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

08/06/2004 BABRAHA1 00000009 10806953

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$1280** for a Large Entity

01 FC:1001	770.00 OP
02 FC:1051	130.00 OP
03 FC:1202	162.00 OP
04 FC:1201	430.00 OP

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is **\$380**
  - **\$90** for **5** total claims over 20.
  - **\$290** for multiple dependent claim surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice MUST be returned with the reply.*

mar  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : SUMIKAWA, ET AL.                      Art Unit : 1614  
Serial No. : 10/806,953                              Examiner : Unknown  
Filed : March 22, 2004  
Title : DRUGS TO IMPROVE SYNAPTIC TRANSMISSION

**MAIL STOP MISSING PARTS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed June 4, 2004 (copy enclosed), applicant as a large entity submits herewith the following:

- ☒ Payment of the basic filing fee of \$770;
- ☒ Payment of the additional claims fees of \$592;
- ☒ Payment of the surcharge of \$130 for late filing of the basic filing fee and/or declaration;
- ☒ Copy of Declaration signed by the Inventors from the parent application re compliance with 37 CFR §1.63.;
- ☒ Copy of Power of Attorney by Assignee from the parent application;
- ☒ Copy of Recordation of Assignment from inventors to assignee;
- ☒ A check in the total amount of \$1492 is attached;
- ☒ Preliminary Amendment;
- ☒ A Power of Attorney By Assignee and Election of Assignee to Conduct Prosecution to Exclusion of Inventors in compliance with 37 CFR §3.71.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

August 2, 2004

Date of Deposit

Signature

Teri Barnett

Typed or Printed Name of Person Signing Certificate

Applicant : SUMIKAWA, ET AL.  
Serial No. : 10/806,953  
Filed : March 22, 2004  
Page : 2 of 2

Attorney's Docket No.: 07306-003003 / UC 1991-169-3

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: \_\_\_\_\_

2/2/04



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